

PURSS: Towards Perceptual Uncertainty Aware Responsibility Sensitive Safety with ML

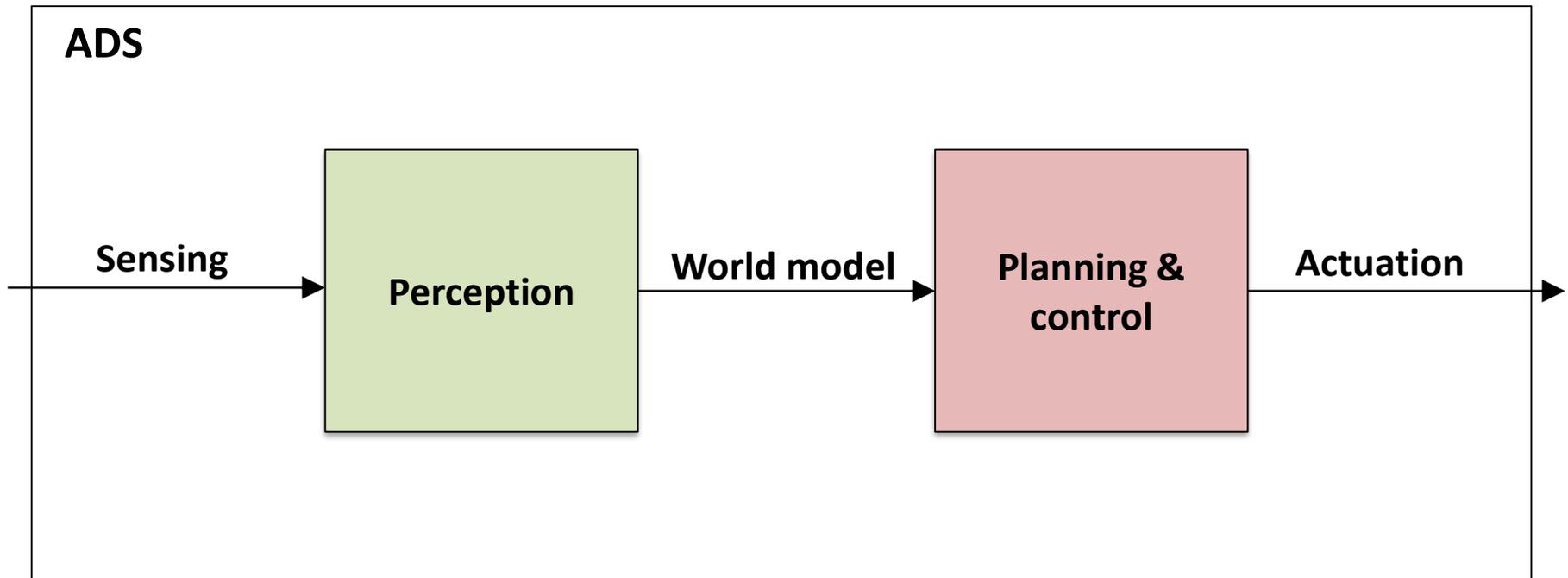
Rick Salay,¹ Krzysztof Czarnecki,¹ Ignacio Alvarez,²
Maria Soledad Elli,² Sean Sedwards,¹ Jack Weast²

¹Dept. Electrical and Computer Engineering, Univ. of Waterloo

²Intel Corporation, Automated Driving Group

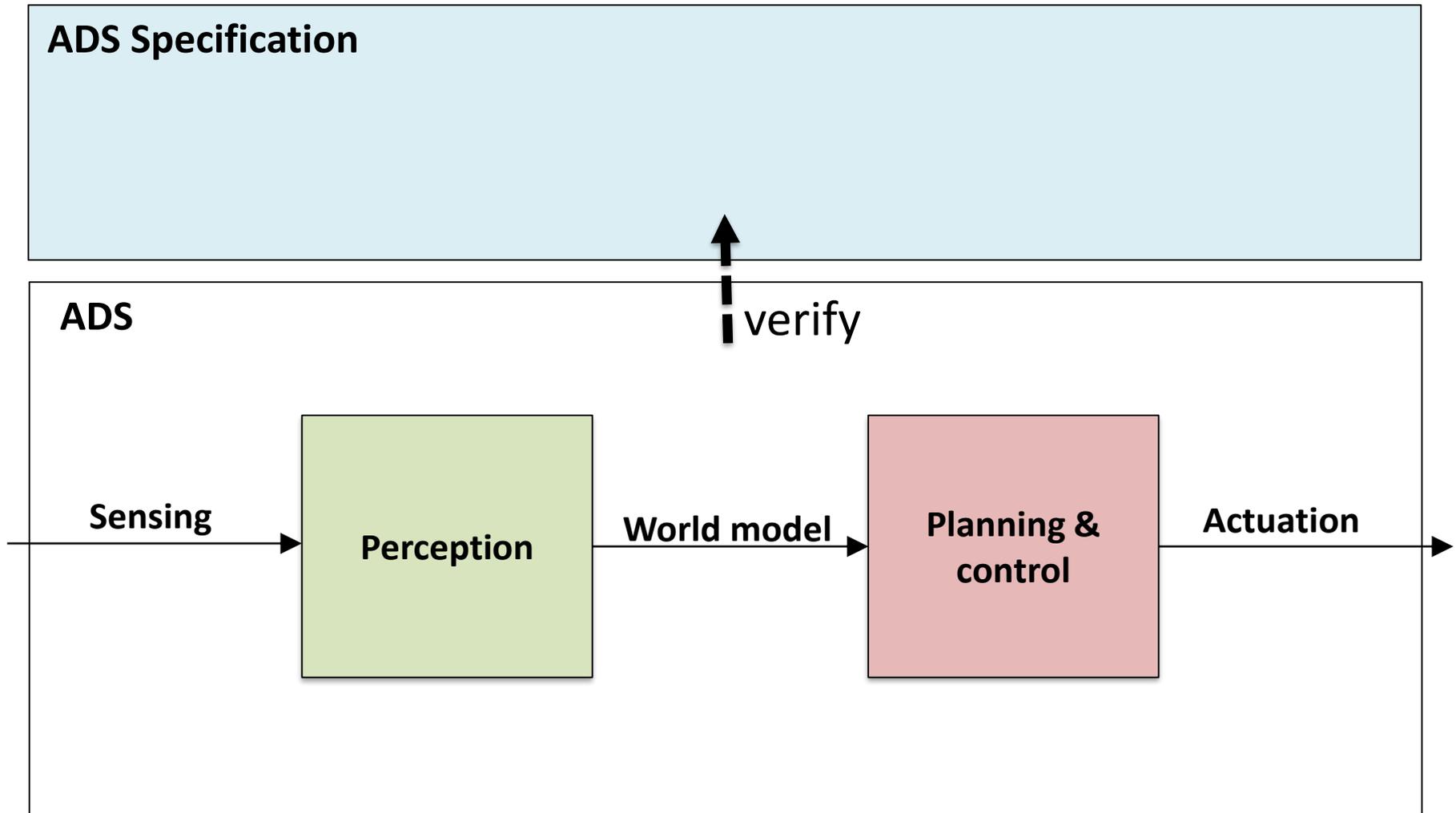


Automated Driving Systems (ADS)



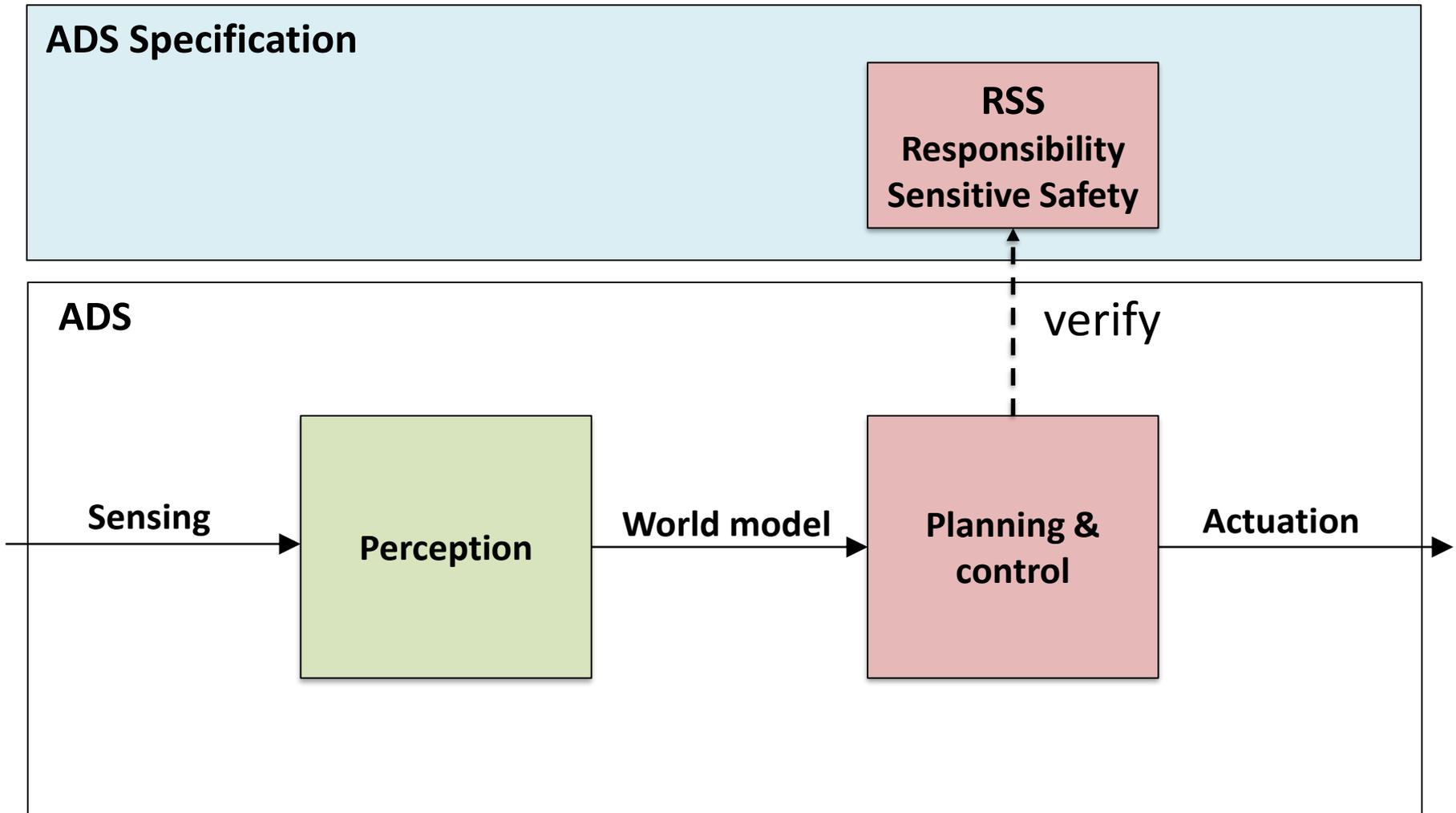
Automated Driving Systems (ADS)

Traditional Safety Assurance



Automated Driving Systems (ADS)

Traditional Safety Assurance

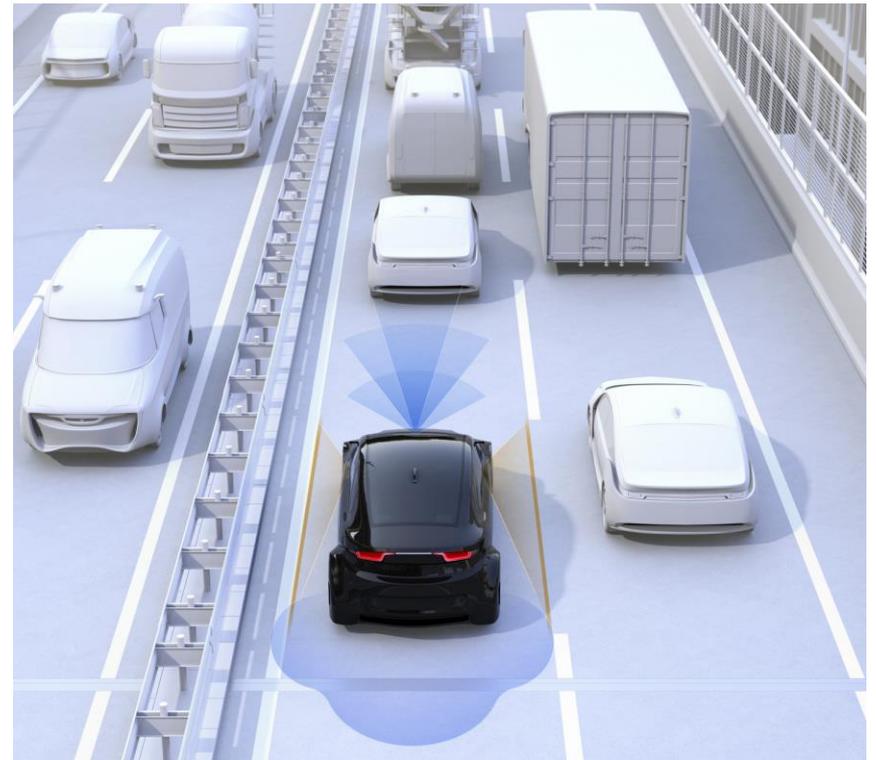


Responsible Sensitive Safety (RSS)

Formalizes

“common sense safety”

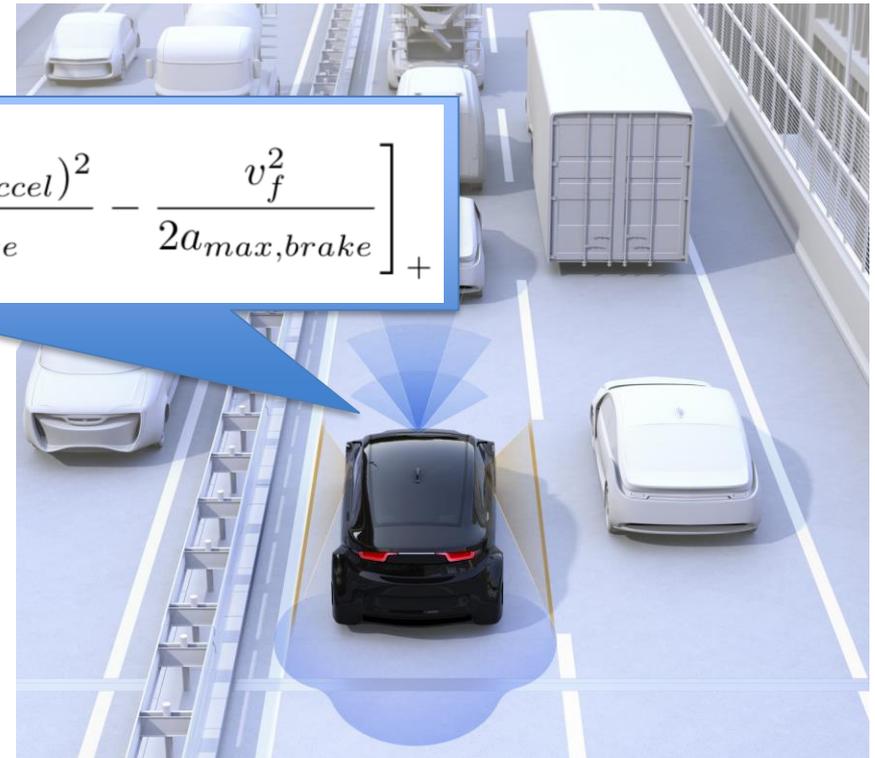
e.g., Do not hit the car in front



Responsible Sensitive Safety (RSS)

Do not hit the car in front

$$d_{min} = \left[v_r \rho + \frac{1}{2} a_{max, accel} \rho^2 + \frac{(v_r + \rho a_{max, accel})^2}{2a_{min, brake}} - \frac{v_f^2}{2a_{max, brake}} \right]_+$$



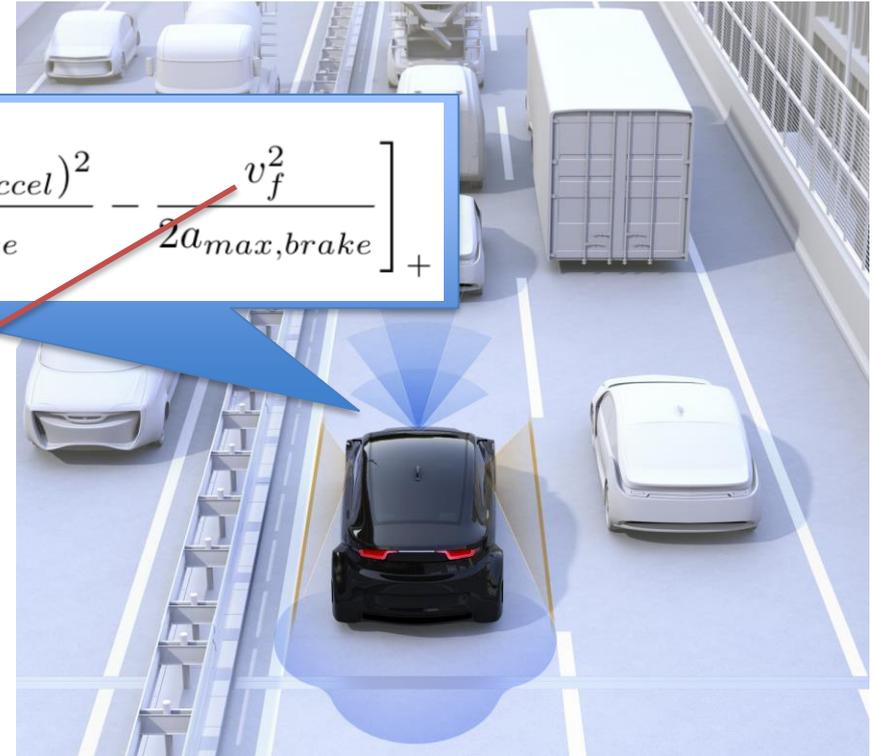
- Safe actions maintain distance d_{min}
- If d_{min} is breached, “proper response” is safe action

Shalev-Shwartz, Shai, Shaked Shammah, and Amnon Shashua. "On a formal model of safe and scalable self-driving cars." *arXiv preprint arXiv:1708.06374* (2017).

Responsible Sensitive Safety (RSS)

Do not hit the car in front

$$d_{min} = \left[v_r \rho + \frac{1}{2} a_{max, accel} \rho^2 + \frac{(v_r + \rho a_{max, accel})^2}{2 a_{min, brake}} - \frac{v_f^2}{2 a_{max, brake}} \right]_+$$

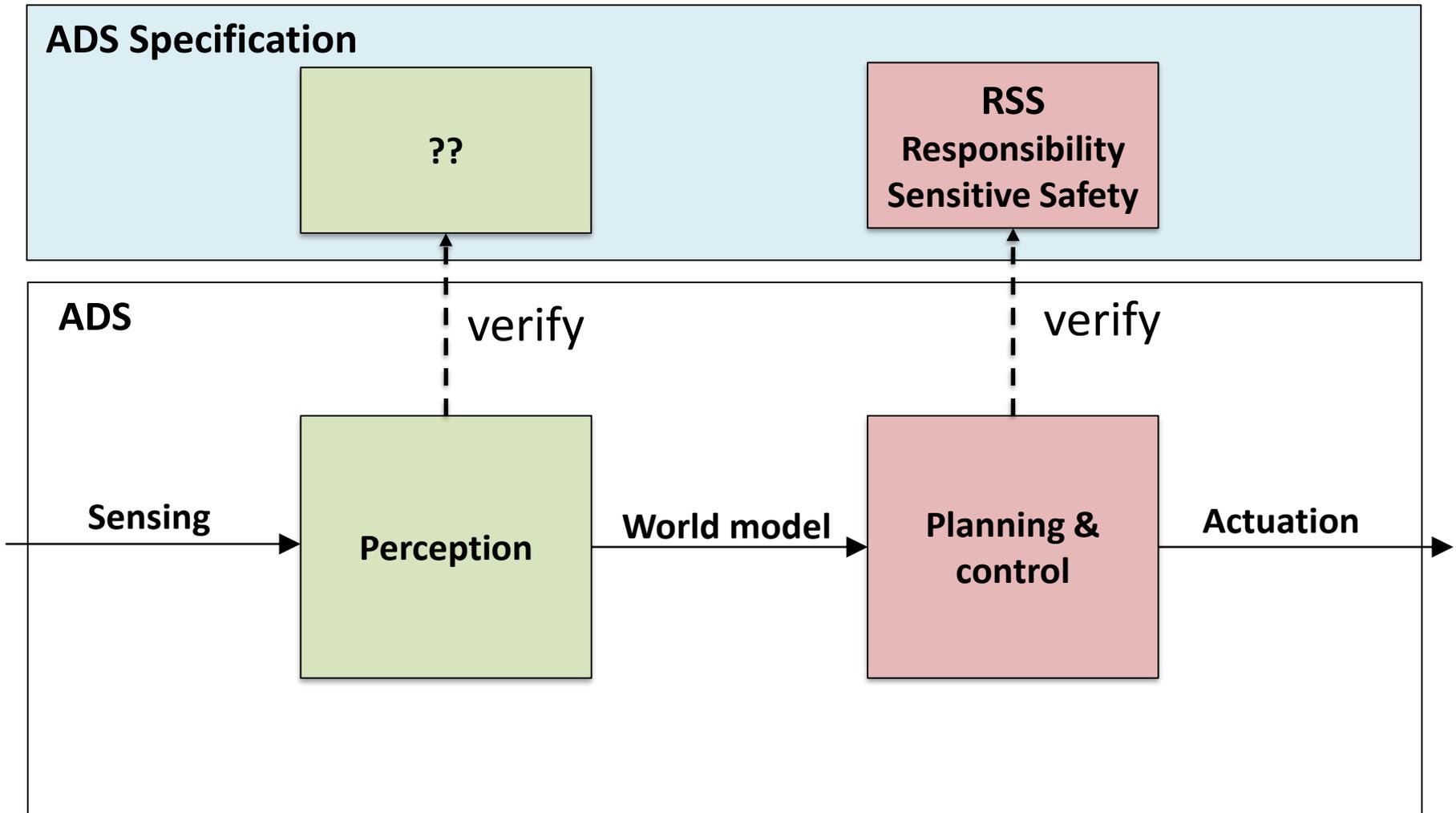


Problem: Assumes perfect perception

**Misperception -> wrong action
-> safety risk!**

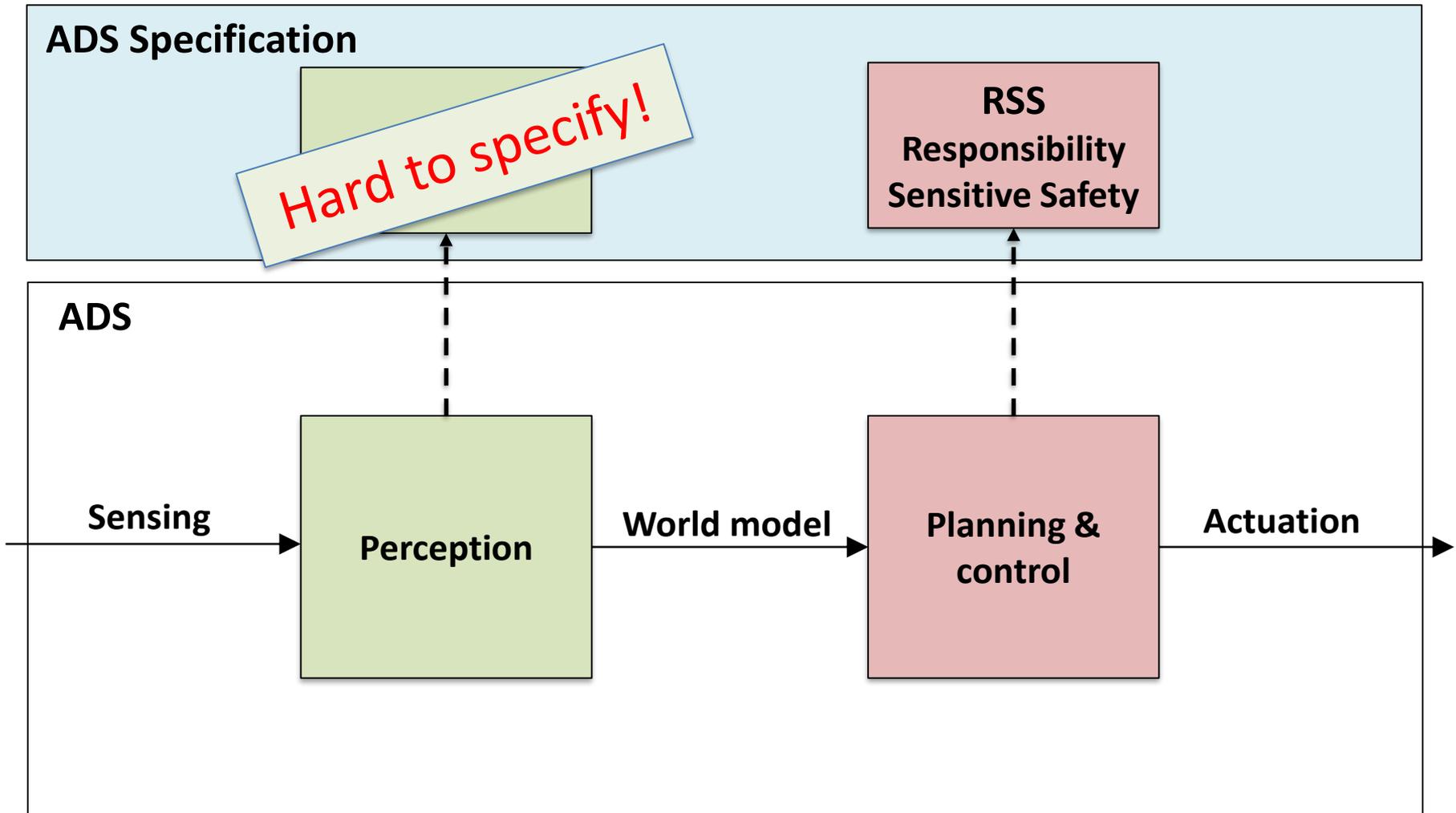
Automated Driving Systems (ADS)

Traditional Safety Assurance



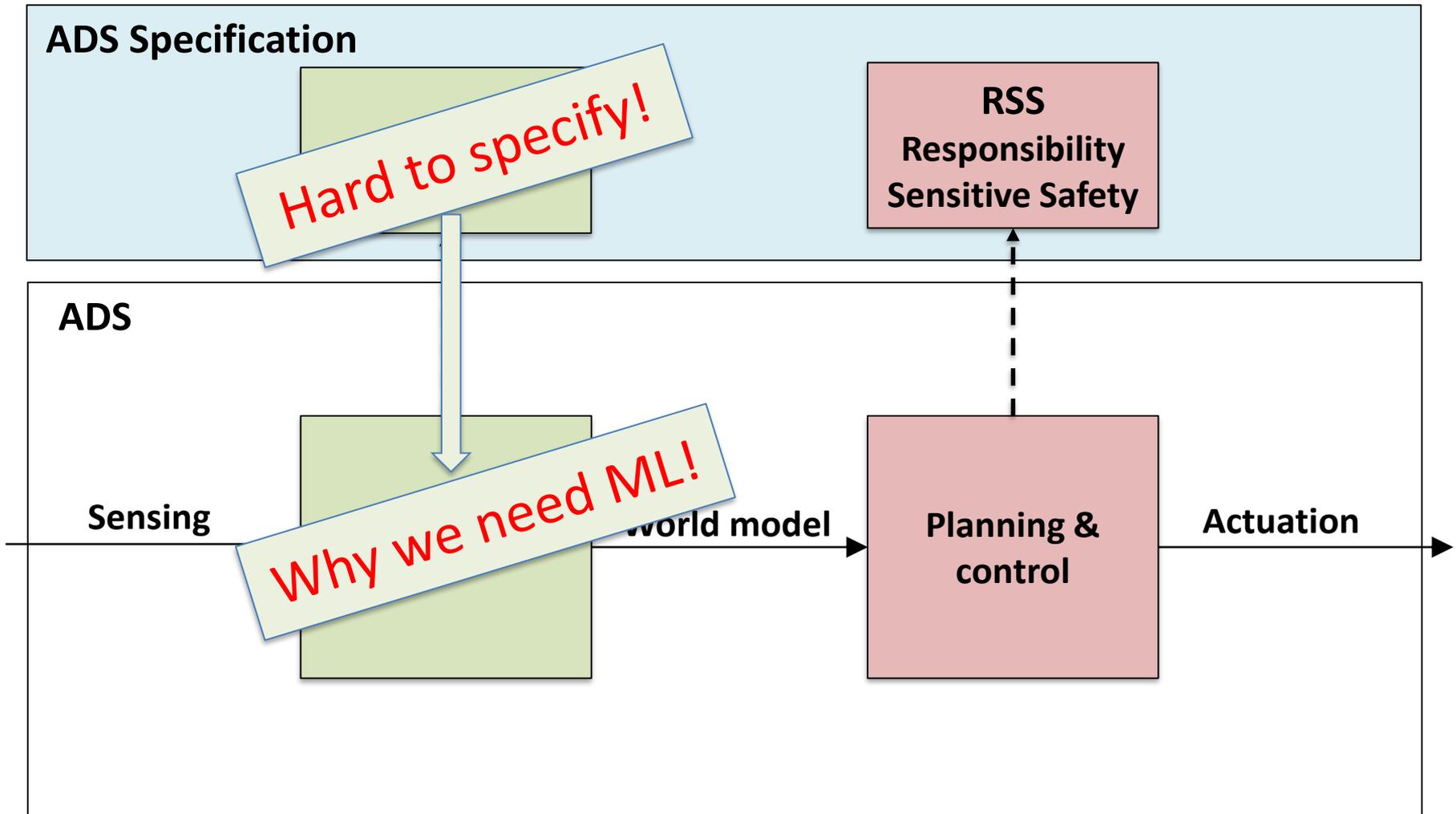
Automated Driving Systems (ADS)

Traditional Safety Assurance



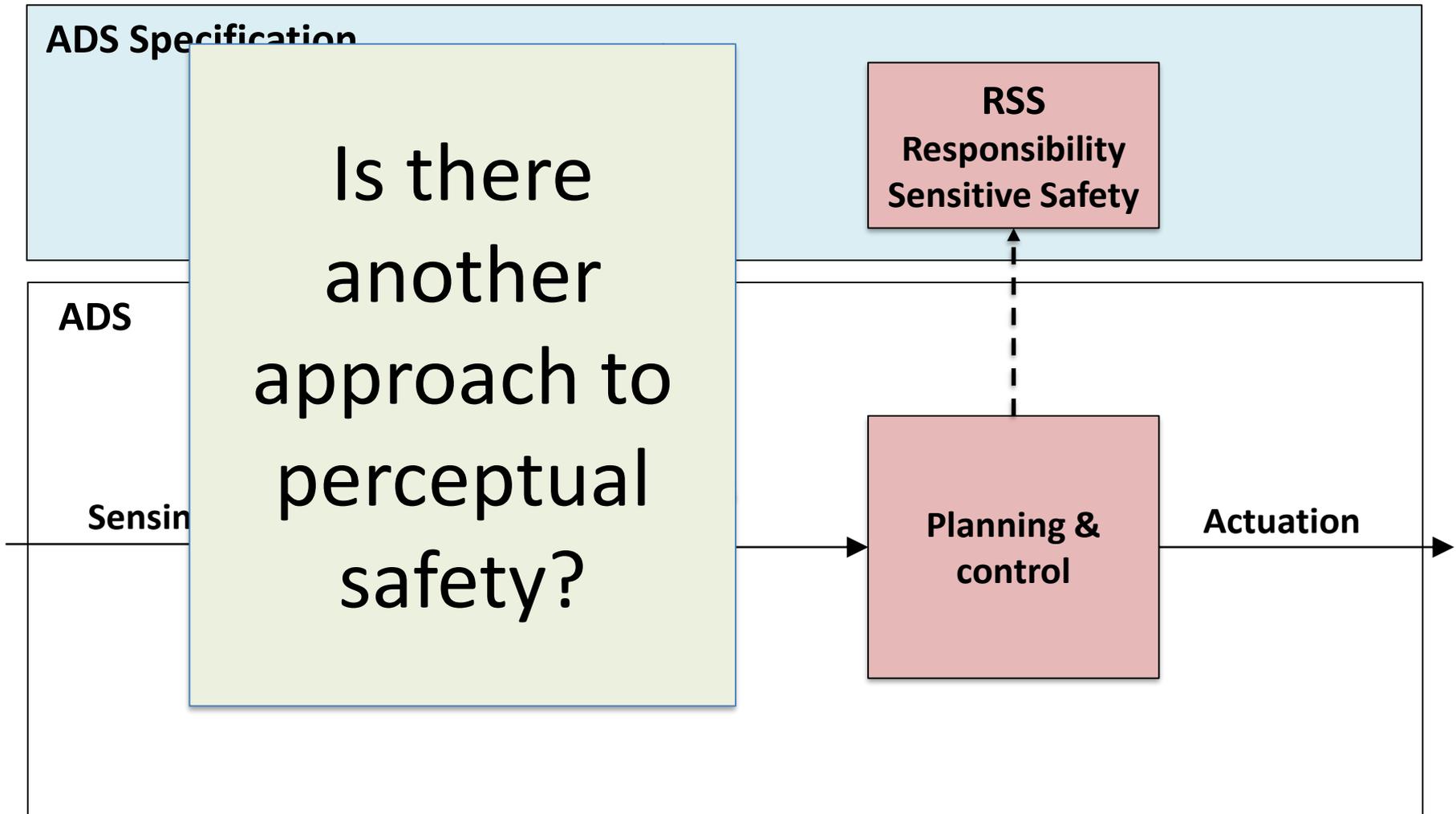
Automated Driving Systems (ADS)

Traditional Safety Assurance



Automated Driving Systems (ADS)

Traditional Safety Assurance



Perceptual Uncertainty

- Uncertainty of perceptual component is cause of misperception
 - many factors*: poor labeling, inadequate dataset coverage, etc.
- ML components can report their own uncertainty!
 - as long as they are calibrated...

*Czarnecki, Krzysztof, and Rick Salay. "Towards a framework to manage perceptual uncertainty for safe automated driving." In *International Conference on Computer Safety, Reliability, and Security*, pp. 439-445. Springer, Cham, 2018.

PURSS

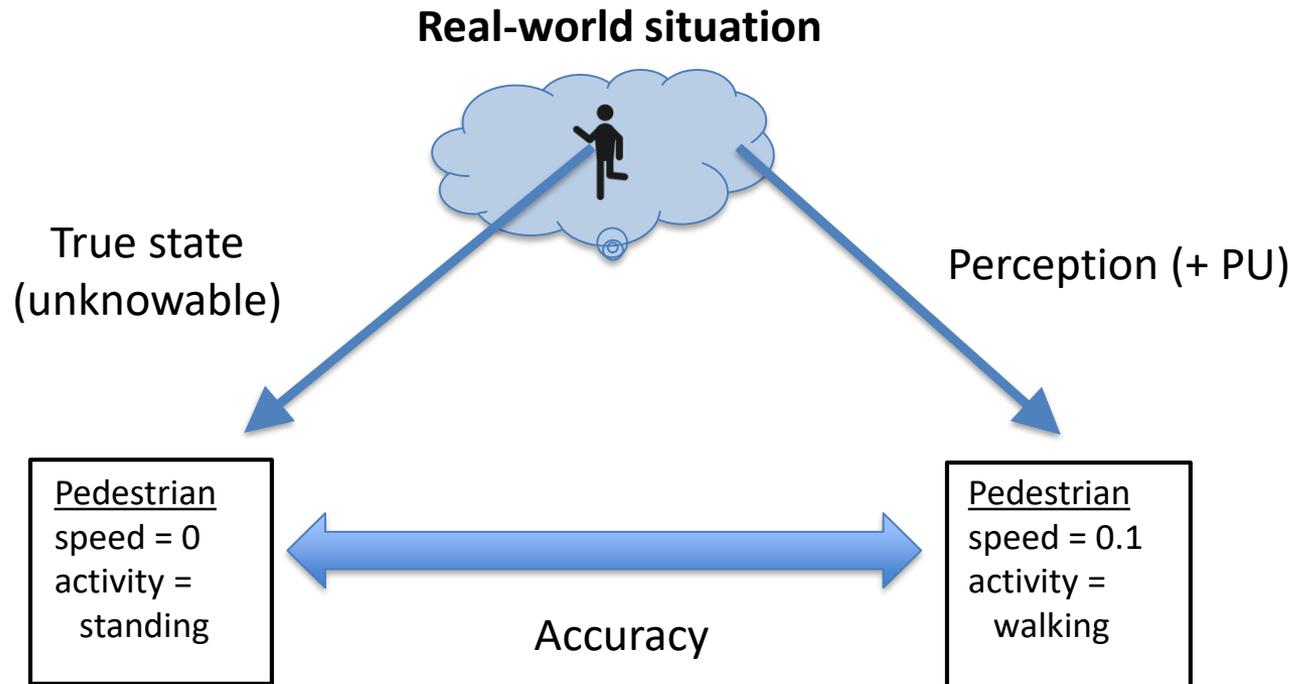
PURSS = perceptual uncertainty (PU) + RSS

Safety Idea:

Use perceptual uncertainty measure to make RSS rules appropriately cautious and limit safety risk

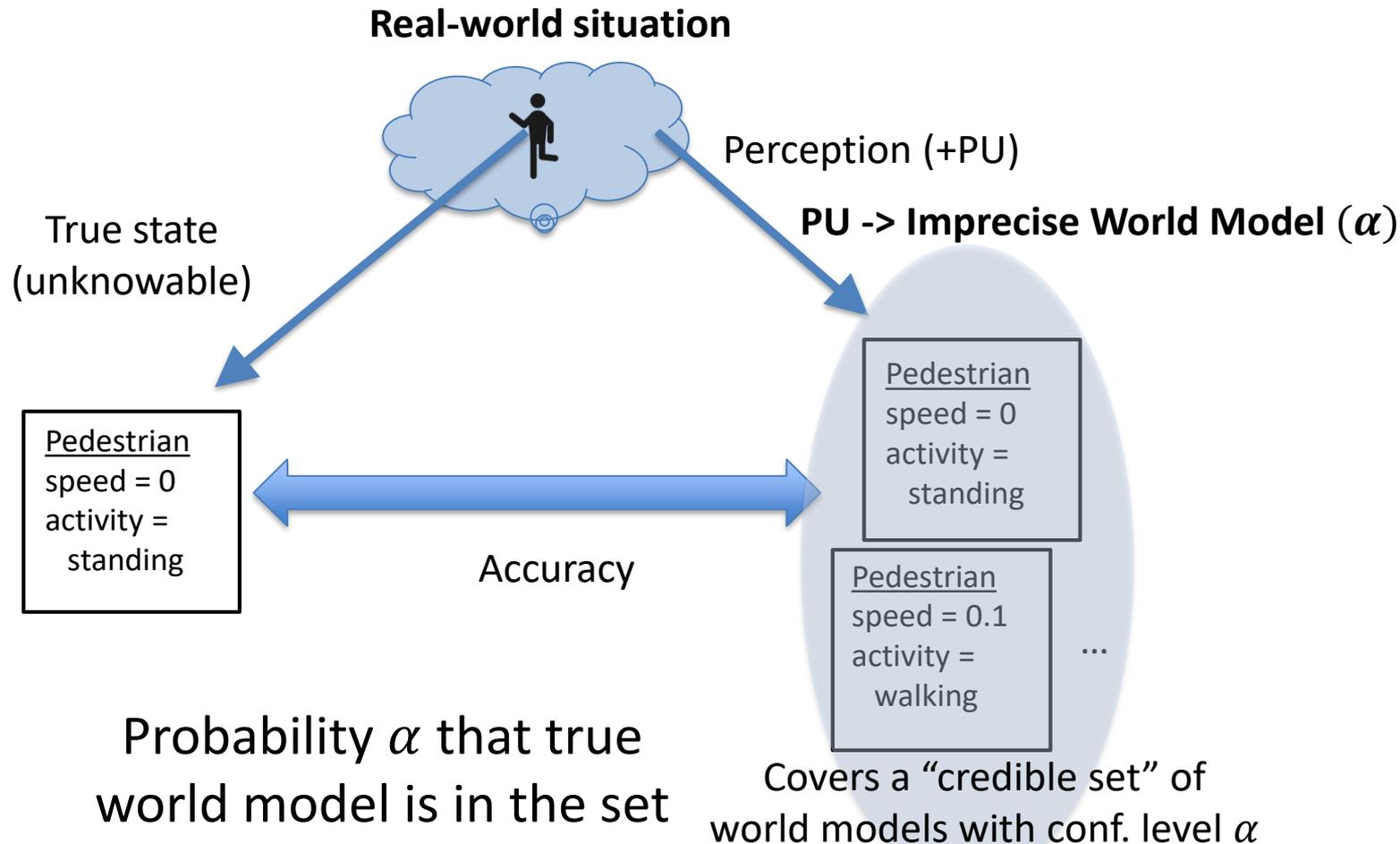
PURSS formalizes this idea

Precise World Model

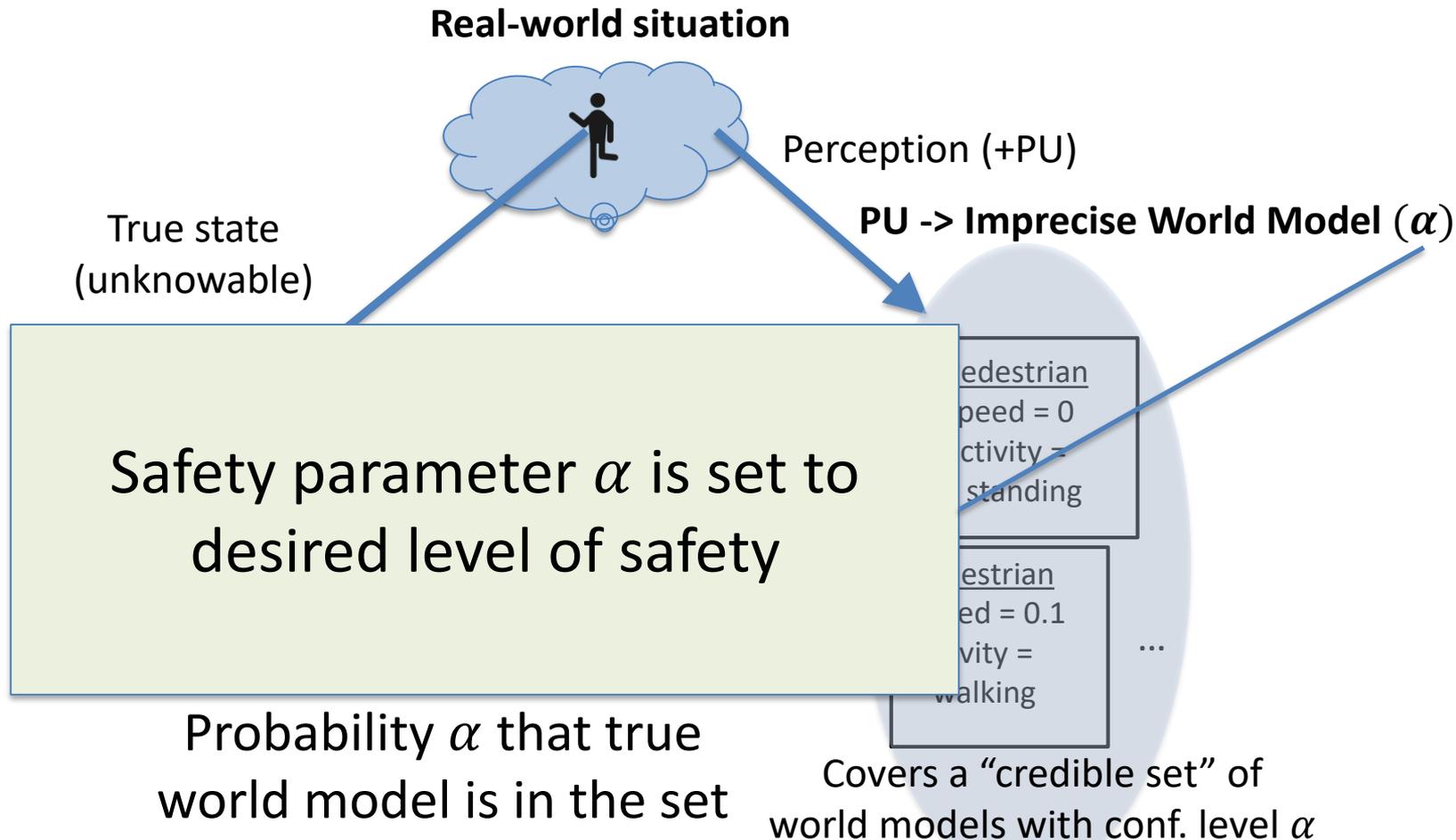


Misperception: precise but inaccurate

Perceptual Uncertainty Handling via Imprecise World Models



Perceptual Uncertainty Handling via Imprecise World Models



Perceptual Uncertainty Handling via Imprecise World Models

Real-world situation

RSS rules are “lifted” to accept imprecise world models

(α)

Result: exercises caution by limiting actions to those safe for **any** covered world model

Probability α that true world model is in the set

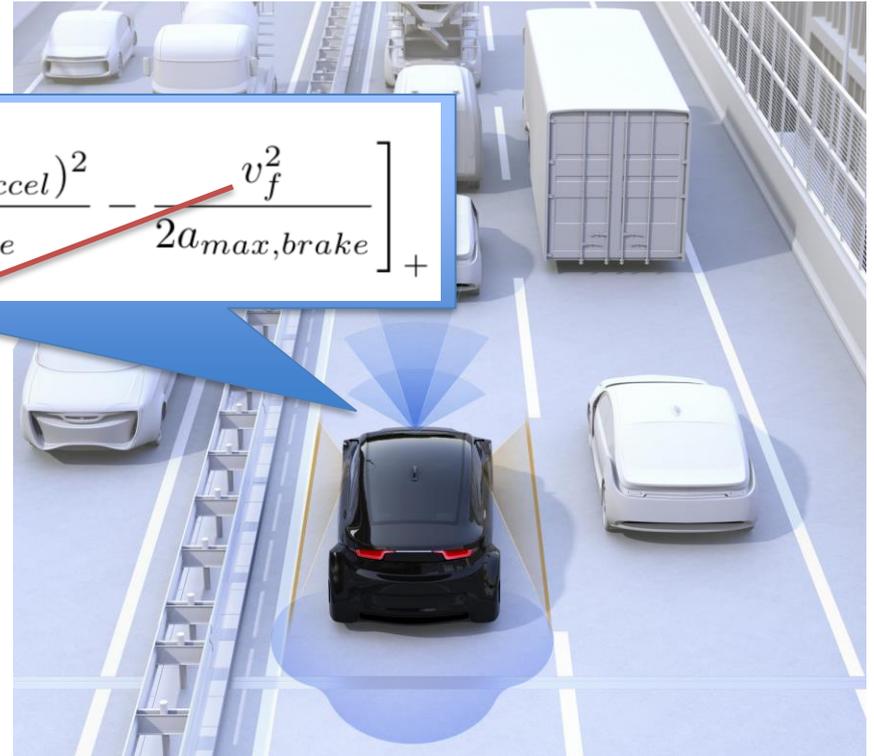
Covers a “credible set” of world models with conf. level α

Responsible Sensitive Safety (RSS)

Do not hit the car in front

$$d_{min} = \left[v_r \rho + \frac{1}{2} a_{max, accel} \rho^2 + \frac{(v_r + \rho a_{max, accel})^2}{2 a_{min, brake}} - \frac{v_f^2}{2 a_{max, brake}} \right]_+$$

Lifting: replace values with credible intervals corresponding to α



Responsible Sensitive Safety (RSS)

Do not hit the car in front

$$d_{min} = \left[v_r \rho + \frac{1}{2} a_{max,accel} \rho^2 + \frac{(v_r + \rho a_{max,accel})^2}{2a_{min,brake}} - \frac{v_f^2}{2a_{max,brake}} \right]_+$$

Lifting: replace values with credible intervals corresponding to α

e.g.,

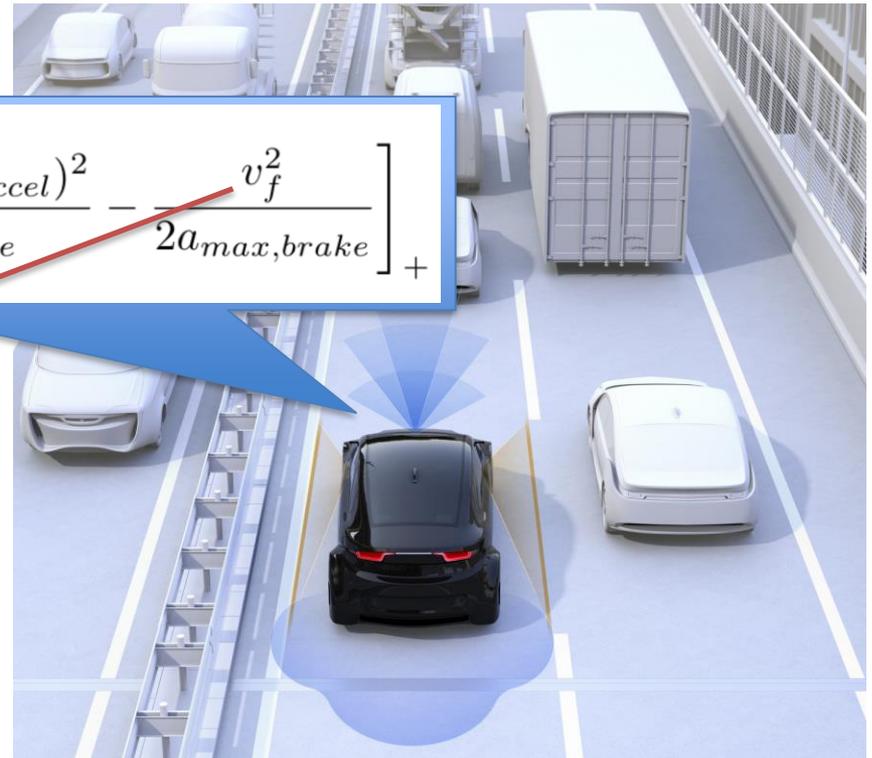
precise: $v_f = 30 \text{ m/s}$

PU: $\sigma_f^2 = 1 \text{ m/s}$

lift to imprecise:

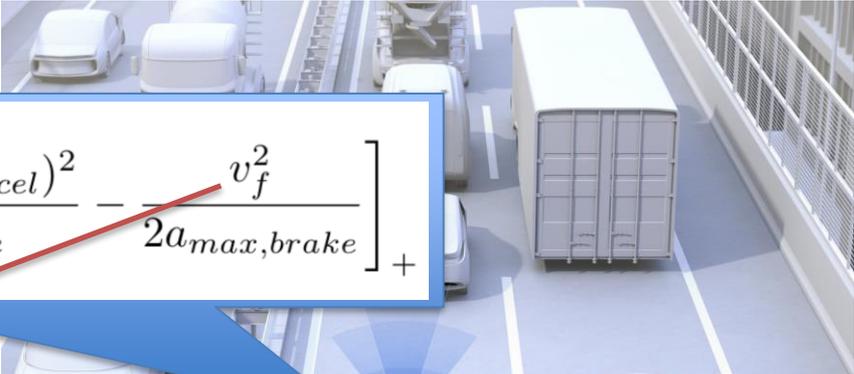
$\alpha = 68\%$: $v_f = [29,31] \text{ m/s}$

$\alpha = 95\%$: $v_f = [28,32] \text{ m/s}$



Responsible Sensitive Safety (RSS)

Do not hit the car in front


$$d_{min} = \left[v_r \rho + \frac{1}{2} a_{max, accel} \rho^2 + \frac{(v_r + \rho a_{max, accel})^2}{2 a_{min, brake}} - \frac{v_f^2}{2 a_{max, brake}} \right]_+$$

Lifting: replace values with cred
intervals corresponding to

e.g.,

precise: $v_f = 30 \text{ m/s}$

PU: $\sigma_f^2 = 1 \text{ m/s}$

lift to imprecise:

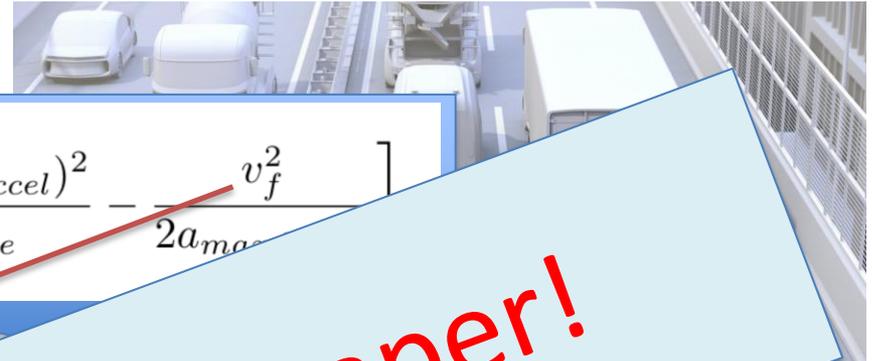
$\alpha = 68\%$: $v_f = [29, 31] \text{ m/s}$

$\alpha = 95\%$: $v_f = [28, 32] \text{ m/s}$

Given uncertainty σ_f^2 ,
increasing confidence $\alpha \Rightarrow$
decreasing precision of $v_f \Rightarrow$
larger d_{min} to be more cautious

Responsible Sensitive Safety (RSS)

Do not hit the car in front



$$d_{min} = \left[v_r \rho + \frac{1}{2} a_{max, accel} \rho^2 + \frac{(v_r + \rho a_{max, accel})^2}{2a_{min, brake}} - \frac{v_f^2}{2a_{max, accel}} \right]$$

Lifting: replace values with
intervals corresponding to

e.g.,

See details in the paper!

confidence $\alpha \Rightarrow$
increasing precision of $v_f \Rightarrow$
larger d_{min} to be more cautious

li.
 $v_f = [29,31] \text{ m/s}$
95%: $v_f = [28,32] \text{ m/s}$

Benefits and Costs

- Benefit: Safety parameter α can be increased to get as safe as you want
 - RSS rules become correspondingly more cautious
- Cost: More cautious behaviour may negatively impact progress
- Important future work: negotiating the trade-off

Summary

- RSS provides a spec on planning & control
 - supports traditional safety assurance
- Perception is hard to specify and needs ML
 - different safety approach is needed
- PURSS approach to safety
 - Set desired level of safety (α)
 - Perceptual uncertainty \rightarrow_{α} imprecise world models
 - Lift RSS rules to be correspondingly cautious
- Much further work coming!